

संख्या 78 / 295/USRLM/2018

प्रेषक,

अपर मुख्य कार्यकारी अधिकारी,
यू0एस0आर0एल0एम0
आजीविका भवन, तपोवन रोड, रायपुर,
देहरादून।

सेवा में,

1. जिलाधिकारी,
नैनीताल, पिथौरागढ़, रुद्रप्रयाग, उत्तरकाशी एवं पौड़ी।
2. मुख्य विकास अधिकारी,
नैनीताल, पिथौरागढ़, रुद्रप्रयाग, उत्तरकाशी एवं पौड़ी।
3. परियोजना निदेशक/जिला मिशन प्रबन्धक,
नैनीताल, पिथौरागढ़, रुद्रप्रयाग, उत्तरकाशी एवं पौड़ी।

यू0एस0आर0एल0एम0

(ग्राम्य विकास विभाग) देहरादून

दिनांक 21 अप्रैल 2018

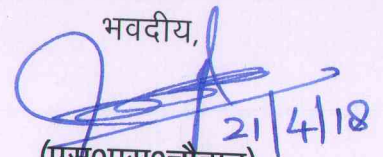
विषय:- डे-एन0आर0एल0एम0 के क्रियान्वयन हेतु हिमोत्थान सोसायटी देहरादून तथा एस0पी0एम0यू0 (उत्तराखण्ड राज्य ग्रामीण आजीविका मिशन) के मध्य समझौता विषयक।

महोदय,

कृपया उपर्युक्त विषयक दिनांक 26 मार्च 2018 को दोनों संस्थाओं के मध्य 5 वर्ष के लिए समझौता हुआ है। यह वर्ष अप्रैल 2018 से मार्च 2023 तक लागू रहेगा। इस समझौते की मुख्य-मुख्य बातें निम्नवत् है। हिमोत्थान सोसायटी डे-एन0आर0एल0एम0 के लिए उत्तराखण्ड राज्य ग्रामीण आजीविका मिशन के अन्तर्गत सघन विकास खण्ड रणनीति की भाँति मानव संसाधन उपलब्ध करायेंगे तथा राज्य, जनपद एवं विकास खण्ड में ग्राम्य विकास विभाग के सामान्य नियन्त्रण में मिशन की सभी गतिविधियों में समर्पित रूप से कार्य करेंगे। समझौते के अनुसार निम्न विकास खण्डों का चयन किया गया है।

| क्र0सं0 | विकास खण्ड का नाम | जनपद का नाम |
|---------|-------------------|--------------|
| 1. | बेतालघाट | नैनीताल |
| 2. | बेरीनाग | पिथौरागढ़ |
| 3. | ऊखीमठ | रुद्रप्रयाग |
| 4. | चिन्यालीसौड़ | उत्तरकाशी |
| 5. | पौड़ी | पौड़ी गढ़वाल |


अतः सम्बन्धित हिमोत्थान सोसायटी द्वारा विकास खण्डों में शीघ्र ही मानव संसाधन उपलब्ध कराने हेतु अवगत कराया है। LOU की विस्तृत जानकारी हेतु बेवसाइट www.usrlm.uk.gov.in पर उपलब्ध है।

भवदीय,

(एस0एस0चौहान) 21/4/18

अपर मुख्य कार्यकारी अधिकारी

पत्राक /USRLM /2018 तददिनांक:

- प्रतिलिपि:-
1. वरिष्ठ निजी सचिव, प्रमुख सचिव, ग्राम्य विकास/मिशन निदेशक, यू0एस0आर0एल0एम0, उत्तराखण्ड शासन को महोदया के अवलोकनार्थ।
 2. मुख्य कार्यकारी अधिकारी, यू0एस0आर0एल0एम0 को सूचनार्थ।
 3. डॉ0 यशपाल बिष्ट, कार्यकारी निदेशक, हिमोत्थान सोसायटी, 193 बसन्त विहार, फेज-1। देहरादून को इस आशय से कि LOU के अनुसार मानव संसाधन तत्काल उपलब्ध कराने का कष्ट करे।
 4. सम्बन्धित खण्ड विकास अधिकारी, बेतालघाट, बेरीनाग, ऊखीमठ, चिन्यालीसौड़ एवं पौड़ी को सूचनार्थ एवं आवश्यक कार्यवाही हेतु प्रेषित।


(एस0एस0चौहान)

अपर मुख्य कार्यकारी अधिकारी

Letter of Understanding

(2018-2023)

Between the

State Project Management Unit (SPMU)

Department of Rural Development,
Government of Uttarakhand

and the

Himmotthan Society

(An Associate Organisation of Tata Trusts)

Dehradun, Uttarakhand

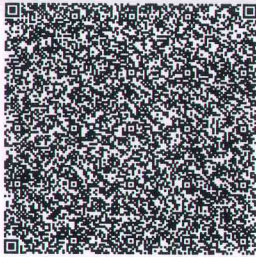


सत्यमेव जयते

INDIA NON JUDICIAL Government of Uttarakhand

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Letter of Understanding

This Letter of Understanding is made at Dehradun, this 26th March, 2018, between the **State Project Management Unit, Department of Rural Development, Government of Uttarakhand**, hereinafter referred to as the "SPMU", and the **Himmotthan Society**, a Society registered under The Societies Registration Act (**an Associate Organisation of Tata Trusts**), and having its office at 193, Phase II, Vasant Vihar, Dehradun hereinafter referred to as "**Himmotthan**", for an initial period of five years.



[Signature]

Statutory Alert:

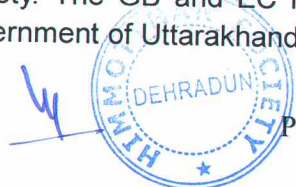
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Background: The Tata Trusts have been working in Uttarakhand since 2001 through its program the Himmothan Pariyojana. The program targets the root causes of regional under development, through sustainable community institutions focused upon sustainable livelihoods. In 2004 the Trusts' activities in Uttarakhand received due recognition from the Government of Uttarakhand and a ten year MoU was signed between the Trusts and the State government in which both parties agreed to collaborate on rural development issues in the state. This MoU was extended for another ten year period in 2014, with a renewed agenda for extended work in the State in the areas of agriculture, livestock, drinking water and sanitation, education, natural resources, livelihood promotion, skill building and to create sustainable, market-focused institutions (Annexure-1). The Himmothan Society, an Associate Organisation of Trusts created in 2007, manages the program.

The **Himmothan Society's** engagements include working as a resource agency for the government, not-for-profit agencies and other stakeholders; promoting and incubating new ideas for increasing outreach, extension and incomes of rural populations, and promoting networking and collaborations in the rural development sector in the State. Himmothan's current works in organizing and developing sustainable community institutions and enterprises linked to agriculture, livestock, disaster relief and post-disaster revival processes, forest resource use, intervening for better education, and access to safe water and sanitation. Himmothan currently works in 10 mountain districts of Uttarakhand, covering over 600 villages and reaching out to over 60,000 families. Over the years, the program has developed different Natural Resource based livelihood systems with the active support of local communities. The focus has been on organising women into groups at the village level and Federations at the cluster level, with focus on skill enhancement for running a range of micro-enterprises. As of date, Himmothan has 7 field offices, 2 regional offices and a main office in Dehradun supported by over 95 direct staff for project implementation, coordination and monitoring.

The **State Project Management Unit (SPMU)**, a registered Society under the aegis of Department of Rural Development Government of Uttarakhand, was established as an autonomous and independent Society in the year 2002. The Society was created to serve as a special purpose vehicle for monitoring evaluation, coordination, convergence and smooth implementation of centrally and state sponsored poverty reduction schemes and programmes at State level.

The business of the society is run by its General Body (GB) and Executive Committee (EC). Hon'ble Chief Minister is Chairperson of GB while the EC is headed by the Additional Chief Secretary & FRDC Govt. of Uttarakhand. The EC of the society is empowered to approve the systems and policies of the Society. The GB and EC members comprise of senior level officers of the Government of Uttarakhand, representatives of banks and other institutions.



The State Mission Management Unit (SMMU) of USRLM is established within the Society, which is headed by State Mission Director/ Secretary Rural Development and a full-time Chief Executive Officer (CEO). The CEO is being supported by a full-time Additional CEO and a team of senior level professionals and thematic experts.

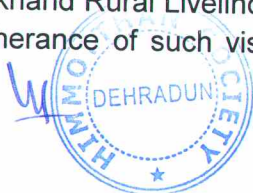
The State Project Management Unit (SPMU), has been designated by the State Government to anchor and implement the National Rural Livelihood Mission in Uttarakhand. Hence the SPMU is currently implementing the Uttarakhand State Rural Livelihood Mission in the State. The USRLM, following from the National Rural Livelihood Mission, aims to reach out to all rural poor families, linking them to sustainable livelihoods opportunities. The programme will nurture them till they come out of poverty and can enjoy a decent quality of life. To achieve this, the programme will put in place dedicated and sensitive support structures at various levels. These structures will work towards organizing the poor, building their capacities and the capacities of their organizations, enabling them access to finance and other livelihoods resources. The SRLM will target the poor and poorest of poor households, and take them out of poverty by building capacities, financial muscle and access, and self-managed self-reliant institutions; through placement in jobs, and nurturing them into remunerative self-employment and enterprises. The institutions of the poor gradually take charge of supporting their members being in control of their livelihoods, lives and destiny.

The core belief of the National Rural Livelihoods Mission (NRLM) is that the poor have innate capabilities and a strong desire to come out of poverty. They are entrepreneurial, an essential coping mechanism to survive under conditions of poverty. The challenge is to unleash their capabilities to generate meaningful livelihoods and enable them to come out of poverty. The first step in this process is motivating them to form their own institutions. They and their institutions are provided sufficient capacities to manage the external environment, enabled to access finance, and to expand their skills and assets and convert them into meaningful livelihoods. This requires continuous handholding support. An external dedicated, sensitive support structure, from the national level to the sub-district level, is required to induce such social mobilisation, institution building and livelihoods promotion.

On July 19, 2017 the Tata's joined hands with the Uttarakhand Government to launch several development initiatives across the state. Under a joint Skilling & Livelihoods initiative in the state, the Trusts have committed to partner with the Uttarakhand State Rural Livelihood Mission, and enhance the income of over 12,500 rural poor households to over Rs. One Lakh per annum, over a period of 5 years (Annexure-2). This will largely involve working in rural areas and organizing women through self-help groups and local federations.

Purpose:

The SPMU and Himmotthan jointly agree to work towards implementing the Uttarakhand Rural Livelihood Mission (USRLM) and making it a success, and in furtherance of such vision SPMU and Himmotthan have expressed their



in furtherance of such vision SPMU and Himmotthan have expressed their intention of collaborating with each other for a mutually beneficial arrangement which benefits the society at large through utilisation strengths of both Parties by way of this non-binding **Letter of Understanding**. The joint implementation of the USRLM will be carried out in 5 blocks, across 5 districts covering around 1,000 villages. The Trusts through its Associate Organisation, Himmotthan will provide technical support, in terms of quality human resource for livelihood skilling, enterprise promotion and establishing marketing linkages. Funds from the USRLM will be mobilized for community institution building and financial inclusion for livelihood promotion. The project will focus on building and strengthening community institutions (activity groups at village, Village Organizations and Cluster Federations). Skilling programs will include on- and off-farm enterprises like agri-produce, dairy and livestock, handicrafts and rural marketing. Collective production, processing and marketing of produce and products will create self-employment, embedded enterprises and develop a culture of entrepreneurship in the rural areas of the state (Annexure-3). In this joint venture:

1. Himmotthan will provide the SPMU with technical support and expertise towards the implementation of the USRLM. In this regard Himmotthan will provide the SPMU with two Experts:
 - (a) Institution Building and Community Building Expert
 - (b) Livelihood Expert

These Experts will strategize and assist the SPMU to develop and implement the long term programme of the USRLM.

2. Himmotthan will collaborate with the SPMU to take up a Block Level programme in following Blocks for the implementation of the USRLM.

| SN | Block | District |
|----|--------------|---------------|
| 1 | Betalghat | Nainital |
| 2 | Berinag | Pithoragarh |
| 3 | Ukimath | Rudraprayag |
| 4 | Chinyalisaur | Uttarkashi |
| 5 | Pauri | Pauri Garhwal |

As per the USRLM norms, Himmotthan will place the Block level team in 5 selected blocks. The team will be responsible for field implementation in collaboration with the district and block level line departments.

3. Himmotthan currently has 32 Community based Federations, with over 1700 woman's self-help groups across 30 blocks in 10 mountain districts of Uttarakhand. These community Institutions are actively involved in promotion of different livelihood activities. Himmotthan will link selected SHGs, as far as feasible, to the USRLM schemes, especially for accessing working capital for livelihood promotion activities.

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AP

Procedure:

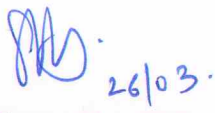
For the implementation of this joint mandate of the SPMU and Himmotthan, both parties will work together through the following process, which will evolve and develop with time:


1. The SPMU will have representation on the State Level Steering Committee of the Himmothana Pariyojana which is being implemented by Himmotthan in Uttarakhand, at which it will present the progress of the programme.
2. Himmotthan will have representation on the Executive Committee of the SRLM as a special invitee.
3. SPMU will document and maintain the attendance and performance of the Experts from Himmotthan who are placed at the SPMU. The monthly attendance will be submitted by the SPMU to Himmotthan, on the basis of which the Experts monthly emoluments will be released. The Experts, in consultation with the SPMU will develop monthly field visit plan and Himmotthan will reimburse the Experts travel and lodging expenses as per the Himmotthan norms. A quarterly performance report of the Experts will also be submitted to Himmotthan, which will also be linked to the release of future emoluments.
4. Himmotthan will appoint the Block level field teams in 5 selected blocks. These staff will be on Himmotthan payroll to implement the USRLM. Himmotthan will support administrative costs (staff emoluments, travel and related costs only) for implementation of USRLM in the 5 selected blocks of Uttarakhand, wherein the USRLM will support community based interventions through USRLM which will include- IBCB for CBOs/ CSOs, RF, and CIF support, interest subvention, Infrastructure and Marketing fund support, skill development and placement projects.
5. Joint meetings of the SPMU and Himmotthan will be held on a quarterly basis for the review of programme activities.
6. Joint progress reviews of the Himmotthan community institutions which are linked to the SRLM will be carried out following a pre-decided annual schedule.
7. Himmotthan will identify potential Community Resource Persons from the Partnership Blocks and submit Block wise list of potential CRPs to the SPMU for trainings. Based on SPMU approval, the incentive based services of selected CRPs will be taken for program activities.
8. SPMU will ensure timely completion of CRP round in the partnership blocks for formation of community institutions (SHGs, VOs, cluster Federations) and organise trainings for their capacity building. Himmotthan will assist SPMU in conducting domain trainings related to different on-farm, off-farm livelihood activities and to set up different rural enterprises.
9. The SPMU will ensure timely availability of resources and funds for implementation of various activities (i.e. community institution building, skilling, financial inclusion for livelihood promotion, etc.) planned under the USRLM.




10. The SPMU and Trusts team will carry out annual reviews of the activities in partnership blocks, where Himmotthan will be managing the field implementation. In addition, the project will be reviewed at varying periodicity by different committees, including the Executive Committee (EC), District Level Coordination Committee (DLCC) and State Level Steering Committee (SLSC).

In summary of the purpose of this LOU, it is the desire of both Parties to take this opportunity to jointly work on rural development issues of Uttarakhand, specifically through the USRLM programme, and to seek opportunities for future collaboration, at which time both the Parties may choose to enter into definitive agreements as may be deemed necessary by them.


26/03
Chief Executive Officer
Uttarakhand State Rural Livelihood
Mission (USRLM)
Department of Rural Development (सविन बंसल)
Government of Uttarakhand अपर सचिव,
Dehradun, Uttarakhand ग्राम्य विकास विभाग,
उत्तराखण्ड शासन।


Executive Director²⁶
Himmotthan Society
Dehradun, Uttarakhand/
Regional Manager, Tata Trusts




26/3/18
Add. Chief Executive Officer
Uttarakhand State Rural Livelihood
Mission (USRLM)
Government of Uttarakhand
Dehradun, Uttarakhand


Finance and Administrative Officer
Himmotthan Society
Dehradun, Uttarakhand