

E Office No. 340346  
**File No. G.20011/14/2015-RL**  
Government of India  
Ministry of Rural Development  
Department of Rural Development

6<sup>th</sup> Floor, Hotel Samrat,  
Chanakyapuri, New Delhi-110021.

**Dated: - the 23<sup>rd</sup> April, 2015.**

To

The Principal Secretary (RD)  
Govt. of Uttrakhand

**Subject: Minutes of the Annual Action Plan (AAP) - 2015-16 for the  
State of Uttrakhand**

Sir

I am directed to say that a Meeting of the Empowered Committee was held on 09<sup>th</sup> April, 2015 under the Chairmanship of Secretary (RD) at Unnatti, Krishi Bhawan, New Delhi. A copy of the minutes of the said meeting is enclosed herewith for your kind information and necessary action.

**Encl:** As above

Yours Faithfully



(P. C. Bhaskar)  
Assistant Director (RL)

**Copy to:**

1. The State Mission Director, Uttrakhand
2. PS to Secy. (RD)
3. PS to AS & FA



**NATIONAL RURAL LIVELIHOODS MISSION  
MINISTRY OF RURAL DEVELOPMENT, GOVERNMENT OF INDIA**

**RECORD OF THE PROCEEDINGS OF THE MEETING OF EMPOWERED COMMITTEE**

**Chaired by:** Shri Jugal Kishore Mohapatra, Secretary, MoRD  
**Dated:** 9<sup>th</sup> April 2015

**Subject:** Approval of Annual Action Plan (AAP) for FY 2015-16 of the State of Uttarakhand

**List of participants is placed at Annex-1.**

1. National Rural Livelihoods Promotion Society (NRLPS), Ministry of Rural Development (MoRD) conducted a desk appraisal of Annual Action Plan (AAP) of Uttarakhand State Rural Livelihood Mission (U.S.R.L.M) for FY 2015-16. Based on the comments provided, USRLM modified and resubmitted the proposal. A pre-EC meeting was held on 20<sup>th</sup> March 2015 in which State's readiness and adequacy of preparation to implement the plan were discussed.
2. The State Mission Director of USRLM, Uttarakhand made a presentation on AAP to the Empowered Committee of the Ministry on 9<sup>th</sup> April 2015. The presentation of the AAP covered progress of the State Mission during 2014-15, action taken on the minutes of the last meeting of the EC lessons learnt and the Action Plan for 2015-16.
3. Summary of action taken report on the recommendation of previous EC meeting are furnished in **Annex-2**.
4. SRLM has proposed in AAP 2015-16 for an amount of Rs. 830.51 lakh for NRLM including SARAS Fair.
5. The EC was pleased to approve the Central Share for Rs 434.61 lakh including interest subvention.
6. An amount of Rs.35 lakhs has been approved for Saras Fair.
7. For RSETI - Rs 22 lakh per RSETI will be provided as per the requirement of the State.
8. Detailed budget allocations are furnished in **Annex-3**.
9. The releases are subject to allocation amount and terms and conditions laid down in the framework of NRLM..
10. The expected outcomes agreed to by USRLM are presented in **Annex-4**.
11. The mission is required to undertake following actions during the course of implementation of AAP for 2015-16:



- i. The Mission shall within 30 days of receipt of the approved minutes, finalize detailed resource envelopes to each intensive district indicating the detailed list of activities to be undertaken.
- ii. The Mission shall formulate detailed action plans for each resource and intensive blocks. With the preparation of block action plan or otherwise, if the need arises, the State Mission shall revise the AAP 2015-16 and submit to MoRD for the consideration of EC by September, 2015.
- iii. The Mission shall formulate and implement plan for non-intensive districts considering that resources are not sparsely distributed and it has to have measurable outcomes in terms of quality of SHGs and Bank credit mobilization. The plan should include clear induction and orientation of staff deployed in these blocks.
- iv. The Mission shall implement the communitization protocols, as evolved from time to time and enhance involvement of community cadre and community institutions in all its activities.
- v. The funds shall be utilised as per norms and procedures indicated in the 'Framework for Implementation', as revised from time-to-time and all expenditures shall be subject to the latest cost ceilings and unit cost norms of NRLM, including the norms of administrative costs.
- vi. The Mission shall submit physical and financial progress reports in the prescribed formats including Interim Unaudited Financial Returns (IUFR) periodically to MoRD.
- vii. The Mission shall evacuate all funds lying with DRDAs to SRLM account. The funds so evacuated to SRLM shall be considered as NRLM funds. The closure report should be submitted by June, 2015. Necessary reconciliation with each DRDA should be undertaken. The DRDAs should get a statement from each bank branch that all unutilized SGSY capital subsidy amounts have been refunded to the DRDA along with the interest. This statement should accompany the closure report from the DRDAs. The Ministry has already issued instructions regarding the committed liabilities (<http://aajeevika.gov.in/>).
- viii. The Mission shall submit Audit report for FY 2014-15 by October 2015 and further release of NRLM funds would be subject to the submission of the Audit reports.
- ix. The Mission shall put in place induction cum immersion, training and capacity building architecture for the staff recruited, community cadres, community institutions, and other stakeholders including bankers.
- x. The Mission shall adopt Community Operations Manual, Human Resource Management Manual and Financial Management Manual and disseminate the same to the field units and the communities.
- xi. The State specific scheme of interest subsidy to SHGs, if any, shall be aligned with the NRLM's Interest Subvention component. The first charge of the interest subvention amount is to meet the interest subvention of all eligible SHGs. The remaining amount, if any, may be used for IB-CB.
- xii. The SGSY balances, if any, shall be treated as NRLM funds and they shall be shown in NRLM opening balance.

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- xiii. It is noted that the NRLM requires a fixed allocation for a period of time after the work is initiated in a block. The approved Action Plan for 2015-16 thus includes provision of activities approved in the Action Plan 2014-15 and to be taken up/continued in 2015-16. The unspent balances as on 1.4.2015 will also be utilised for the Action Plan 2015-16 in addition to the allocation of the year.
- xiv. The Mission shall implement Governance and Accountability Framework, Social Inclusion and Environment Management Framework and develop and implement service standards.
- xv. The Mission shall have a Grievance Redressal Mechanism (GRM) Policy and constitute a 3-5 members committee at SMMU, DMMU and BMMU levels with immediate effect, not later than 30 June 2015, to redress the grievances of the staff. The head of the unit shall be Presiding Officer of the committee and at least one member of the committee shall be a woman. The Committee shall meet on monthly basis or more frequently if required.
- xvi. The Mission shall have the Anti-Sexual Harassment (ASH) Policy and shall constitute an Internal Complaints Committee (ICC) at SMMU, DMMU and BMMU level with immediate effect, not later than 30 June 2015, as per the Sexual Harassment of Women at Workplace (prevention, prohibition and redressal) Act, 2013 to ensure the dignity, safety and security of women at the workplace.
- xvii. The Mission shall focus to increase internal lending among the SHG members and bank linkage with SHGs, this year. It shall also focus on reduction of NPA and increase repayment and develop protocols for post disbursement norms.
- xviii. Mobile alerts to SHGs will be instituted to ensure repayment as per the schedule.
- xix. The Mission shall take up PIP to track the progress of the poor out of poverty and its presentation to Gram Sabha.
- xx. The Mission's C.I.F will be as per the Community Operations manual of the Mission within the NRLM approved limit.
- xxi. State needs to develop service standards for monitoring programme delivery like time lags in release of RF/CIF to eligible SHGs/Federations and also the time lags in S.H.G – bank linkages.
- xxii. The Mission shall saturate the existing blocks in terms of mobilisation and strengthening capacities of institutions of poor, on priority basis before expansion and layering.
- xxiii. Standardize all Protocols for working of SRLM before June 2015.
- xxiv. Develop some champion /exemplary Self Help Groups and through them lead the mobilization in the state and also the livelihoods activities.
- xxv. For providing Young Professionals(YPs) to the State for facilitating the implementation of NRLM, the Ministry will finalize the number of YPs to be posted in the state after discussing the matter with NRML Resource Cell, NIRD&PR



## Annexure-1

### List of participants

#### I. Ministry of Rural Development (MoRD)

1. Shri. Jugal Kishore Mohapatra, Secretary, Rural Development
2. Shri Amarjeet Sinha, Additional Secretary, Rural Development
3. Shri. R.Subrahmanyam, Joint Secretary, Rural Development

#### II. National Rural Livelihoods Promotion Society (NRLPS)

1. Smt. Shanti Kumari, COO, NRLM
2. Smt. Nita Kejriwal, Director, NRLPS
3. Shri. Sandeep Sharma, Deputy Director, NRLM
4. Shri. G Muralidhar, Lead, Capacity Building, NRLM
5. ~~Ms. Poonam Pathak~~, State Anchor, NRLM  
Ms. Poonam Pathak

#### III. Government of Uttarakhand

1. Mrs Jyoti Neeraj Khairwal, Additional Secretary (RD), Uttarakhand
2. Dr P. C. Bebni . Chief Operating Officer- USRLM, Uttarakhand



**Action taken on the minutes of the last meeting**

The State has not submitted Action Taken Report on last EC meeting. It has been assured by the state that they will submit ATR very soon.

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## Approved Annual Action Plan and Budget- Uttarakhand

NRLM 2015-16 (Rs. Lakh)

#	Components	Proposed for 2015-16	Amount Approved for Central Release 2015-16		
			Central Share		
1.	NRLM – IB/CB, RF, CIF, Trg. Infra and Mktg, etc., including Administrative Costs	703.08	376.54		
2.	Interest Subvention	77.43	58.07		
	<b>Total</b>	<b>780.51</b>	<b>434.61</b>		
3.	RSETIs@				
4.	Saras Fair *	50.00	35.00		
	<b>Grand Total</b>	<b>830.51</b>	<b>469.61</b>		

@ Rs 22.00 lakh per RSETI may be claimed for required RSETIs.





## EXPECTED OUTCOMES FOR FY 2015-16

### Resource Block and Intensive Blocks

SL	Indicators	FY 15-16
1	Resource Blocks	6
2	Villages	60
3	HHs	5000
4	New SHGs	480
5	Revived SHGs	20
6	SHGs adopting Panchasutra	500
7	SHGs formed by CRP teams	480
8	Women activists identified	120
9	Women activists trained	120
10	MCP trainers	18
11	Resource book keepers	15
12	book keepers identified	500
13	Bank account opened	500
14	No of SHGs received RF	340
15	RF released in Rs. Lakhs	40.8
16	MCP prepared	200
17	No of SHGs received CIF	200
18	CIF released in Rs. Lakhs	220
19	No of SHGs Bank linked	100
20	Bank linkage in Rs. Lakhs	50
21	No of VOs formed	30
22	No of eligible SHGs enrolled in Vos	500
23	VOs having bank a/cs	30
24	VOs received startup cost	30
25	Start up costs in Rs. Lakh	10.5

### Non intensive blocks

1. Capacity building and Bank linkage of existing SHGs in 80 Non-Intensive Blocks of 13 Districts of Uttarakhand.
2. 100 SHGs will be formed/revived.



